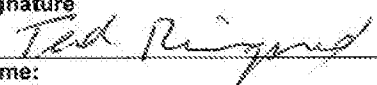


FORM PTO-1330 (REV 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	DATE: <i>January 18, 2006</i>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC § 371</b>			ATTORNEY DOCKET NUMBER 59997US004
U.S. APPLICATION NO. (if known, see 37 CFR § 1.5)			
INTERNATIONAL APPLICATION NO. PCT/US2004/025277	INTERNATIONAL FILING DATE 05/08/2004	PRIORITY DATE CLAIMED 05/08/2003	
TITLE OF INVENTION FORMULATIONS CONTAINING AN IMMUNE RESPONSE MODIFIER			
APPLICANT(S) FOR DO/EO/US David M. HAMMERBECK; Cynthia A. GUY; Suzanne S. LEUNG;			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 USC § 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 USC § 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 USC § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC § 371(c)(2)). a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC § 371(c)(2)). a. <input type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 USC § 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3)). a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 USC § 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC §§ 371(c)(5)).			
Items 11 to 20 below concern document(s) or information included:			
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR §§ 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording is being filed concurrently via EPAS. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR § 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR §§ 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 USC § 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC § 154(d)(4). 20. <input type="checkbox"/> Other items or information:			

U.S. APPLN. NO. (if known, see 37 CFR § 1.5):		INTERNATIONAL APPN. NO.: PCT/US2004/025277		ATTORNEY'S DOCKET NO.: 59997US004	
The following fees are submitted:				<b>Calculations</b>	<b>PTO Use Only</b>
21.	<input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))			\$300	300.00
22.	<input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))				
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$0	
All other situations				\$200	200.00
23.	<input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))				
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$0	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$100	100.00
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB				\$400	
All other situations				\$500	600.00
<b>TOTAL OF 21, 22 and 23=</b>				<b>\$600.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
<b>SHEET CALCULATION</b>		<b>NUMBER FILED</b>		<b>EXTRA</b>	<b>RATE</b>
Size Fee for each add'l 50 sheets of appln. over 100		126	-100	26	\$250.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR § 1.492(e)).					\$0.00
<b>CLAIMS</b>		<b>NUMBER FILED</b>		<b>EXTRA</b>	<b>RATE</b>
Total claims		23	- 20 =	3	x \$50.00
Independent claims		3	- 3 =	0	x \$200.00
Add'l fee for one or more multiple dependent claims					\$360.00
<b>Total Amount Due</b>					<b>\$1,000.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27. The fees indicated above are reduced by 1/2.					
<b>SUBTOTAL =</b>					<b>\$1,000.00</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR § 1.492(f)).					\$0.00
<b>TOTAL NATIONAL FEE =</b>					<b>\$1,000.00</b>
Fee for recording the enclosed assignment (37 CFR § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §§ 3.28, 3.31). \$40.00 per property					\$0.00
<b>TOTAL FEES ENCLOSED =</b>					<b>\$1,000.00</b>
					Amount to be refunded:
					Amount to be charged: <b>\$1,000.00</b>
a. <input checked="" type="checkbox"/> Fees in the amount of \$1,000.00 will be paid EFS-Web at the time of filing. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-3723</u> in the amount of \$1,000.00 to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment to Deposit Account No. <u>13-3723</u> .					
<b>NOTE: Where an appropriate time limit under 37 CFR § 1.495 has not been met, a petition to revive (37 CFR § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
Signature: 					
Name: <b>TED K. RINGSRED</b>				Registration Number: <b>35,658</b>	
Address: <b>Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427</b>					